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# Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371

International Application: | International Filing Date:

28 September 2004

Attorney Docket: 27277U
U.S. Application No.:
New York As Jamed
Friority Date Craimed:
30 September 2003

Title of Invention: ELECTRIC MOTOR FOR ELECTRIC FAN AND ASSEMBLY METHOD THEREFOR

Applicants for DO/EO/US: SPAGGIARI, Alessandro

PCT/IB2004/003186

Applicant hereby submits to the Unites States Designated/Elected Office (DO/EO/US) the following items and other information:

- l.  $\,$  X This is a <code>FIRST</code> submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3.  $\underline{X}$  This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. X The US has been elected (Article 31).
- 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
  - a.  $\underline{X}$  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. has been communicated by the International Bureau.
  - c. is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6.  $\frac{X}{2}$  A English translation of the International Application as filed (35 U.S.C. 371(c)(2).
- 7.  $\underline{X}$  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. \_ are attached hereto (required only if not transmitted by the International Bureau).
  - b. have been communicated by the International Bureau.
  - c. have not been made; however, the time limit for making such amendments has NOT expired.
  - d. X have not been made and will not be made.
  - 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:

- 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12.  $\overline{X}$  An assignment document in favor of <u>SPAL AUTOMOTIVE S.r.l.</u> of Correggio, ITALY for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. X A FIRST preliminary amendment.
- 14. A SECOND or SUBSEQUENT preliminary amendment
- 15. A substitute specification.
- 16. A change of power of attorney and/or address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 1825
- 18. \_ A second copy of the published international application under 35 USC 154(d)(4)
- 19. A second copy of the English language translation of the international application under 35 USC 154(d)(4)

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VaSa Application No.: Not light the transfer of the control of the

International Application:
PCT/IB2004/003186

Attorney Docket: 27277U

20. X Other items or information: Transmittal Letter; international publication number: wo 2005/034309 Al, with pct/isa/210 (international search report); international publication date: 14 April 2005, which consists of 10 pages: 1 page for the cover sheet, with abstract, 5 pages of textual specification, 1 page of reference characters, 2 pages of 11 claims, 1 sheet of drawings; preliminary amendment, with attachment a, to be examined; executed declaration and power of attorney; executed assignment, with a recordation cover sheet; information disclosure statement; pto form-1449, with 8 cited references; copies of 4 of the 8 cited references; pct/ipea/401 (demand); pct/isa/237 (written opinion of the international searching authority); pct/ib/301 (notification of receipt of record copy); pct/ib/304 (notification concerning submission or transmittal of priority document); pct/ib/306 (notification of the recording of a change); pct/ib/308 (first notice informing the applicant of the communication of the international application); pct/ib/332 (information concerning elected offices notified of their election); pct/ro/101 (request); postcard for early notification of the serial number request.

The following fees are submitted:				CA S	LCULATION	PTO USE ONLY
21. X Basic National Fee (37 CFR 1.492(a)) \$ 300.00					300.00	
22. X Examination Fee (37 CFR 1.492 (c))  If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					200.00	
23. X Search Fee (37 CFR 1.492(b))						
If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						:
Searching Authority					400.00	
Total of 21, 22 and 23				\$	900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium).  The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	# of each additional 50	RATE			
15-100 =	0/50 =	0	X \$250	\$	0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	0.00	
CLAIMS	# FILED	# EXTRA	RATE			
TOTAL	8-20=	0	X \$50	\$	0.00	
INDEPENDENT	1-3=	0	X \$200	\$	0.00	
Multiple dependent claims (if applicable) + \$360					0.00	
TOTAL OF ABOVE CALCULATIONS =					900.00	
	aims small entity ce reduced by 2.	y status.				
SUBTOTAL =				\$	450.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	0.00	
		TOTAL NATIO		\$	450.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	40.00	
TOTAL FEES ENCLOSED =				\$	490.00	
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					ount to be:	\$

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# U.S. Application No.:

International Application: PCT/IB2004/003186

Attorney Docket: 27277U

- a.  $\underline{X}$  Two checks in the amounts of  $\underline{\$ 450.00}$  and  $\underline{\$ 40.00}$  to cover the above fees are enclosed.
- b. \_ Please charge my Deposit Account Number: 14-0112 in the amount of \$ \_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c.  $\underline{X}$  The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.
- d. \_ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

Gary M. Nath
NATH & ASSOCIATES PLLC
112 South West Street,
Alexandria, VA 22314
Tel: 1-703-548-6284

Fax: 1-703-683-8396

Gary M. Nath, Reg( No. 26,965 Gregory B. Kang, Reg. No. 45,273

ustomer No. 20529

01573186

MAIL STOP PCT Attorney Docket: 27277U Date: March 23, 2005

Unknown

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE LECTRIC SHEET WAR 25 MAR 2006

Examiner:

In re Application of: SPAGGIARI

Appl. No.: Not Yet Assigned March <u>13</u>, 2006

International Application: PCT/IB2004/003186 International Filing Date: September 28, 2005

ELECTRIC MOTOR FOR ELECTRIC FAN AND ASSEMBLY METHOD THEREFOR

#### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning 1) Filing under 35 U.S.C. 371;

International Publication Number: WO 2005/034309 A1, with PCT/ISA/210 21 (International Search Report); International Publication Date: 14 April 2005, which consists of 10 pages:

1 page for the Cover Sheet, with Abstract,

5 pages of Textual Specification,

1 page of Reference Characters,

2 pages of 11 Claims,

1 sheet of Drawings;

Preliminary Amendment, with Attachment A, to be Examined; 3)

Executed Declaration and Power of Attorney; 4)

Executed Assignment, with a Recordation Cover Sheet; 5)

Information Disclosure Statement; 6)

PTO Form-1449, with 8 cited References; 7)

Copies of 4 of the 8 cited References; 8)

PCT/IPEA/401 (Demand); 91

PCT/ISA/237 (Written Opinion of the International Searching Authority); 10)

PCT/IB/301 (Notification of Receipt of Record Copy); 11)

PCT/IB/304 (Notification Concerning Submission or Transmittal of Priority 12) Document);

PCT/IB/306 (Notification of the Recording of a Change); 13)

PCT/IB/308 (First Notice Informing the Applicant of the Communication of 14) the International Application);

PCT/IB/332 (Information Concerning Elected Offices Notified of Their 15) Election);

16) PCT/RO/101 (Request);

Check No.: 1860 in the Amount of \$ 450.00 for the Basic Filing Fee, 17) which includes the Search and Examination Fees, as a Small Entity;

Check No.: 1861 in the Amount of \$ 40.00 for the Recordation of the 18) Assignment Document;

Postcard for Early Notification of the Serial Number Request. 19)

> Respectfully submitted, NATH & ASSOCIATES PLLC

hunMhusty Gary M./ Nath, Red. No. 26,965 ∕£regor∦ B. Kang, Reg. No. 45,273

Customer No. 20529

NATH & ASSOCIATES PLLC 112 South West Street, Alexandria, VA 22314 GMN/GBK/le